

INTENDED USE PLAN

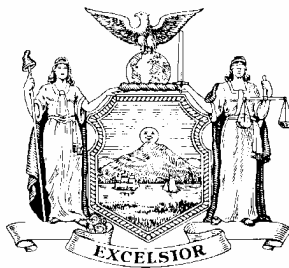
Clean Water State Revolving Fund for Water Pollution Control

Federal Fiscal Year 2009

Effective October 1, 2008 - September 30, 2009
(Extended through March 31, 2010)

AMENDMENT NO. 4

Final December 2009



State of New York
David A. Paterson, Governor



Department of Environmental Conservation
Alexander B. Grannis, Commissioner



Environmental Facilities Corporation
Matthew J. Driscoll, President

FINAL AMENDMENT NO. 4
CLEAN WATER STATE REVOLVING FUND
FFY 2009 INTENDED USE PLAN
AMENDED PROJECT PRIORITY LISTS

December 2009

OVERVIEW - In April 2009, the US Environmental Protection Agency (EPA) awarded New York State \$432,564,200 through the American Recovery and Reinvestment Act of 2009 (ARRA) for the Clean Water State Revolving Fund (CWSRF). The New York State Environmental Facilities Corporation (EFC) administers the CWSRF on behalf of the NYS Department of Environmental Conservation.

IUP Amendment No. 4 includes the listing of projects currently identified as candidates to receive ARRA funds. In particular, Amendment No. 4 includes projects listed in the FFY 2009 CWSRF Annual List that have been identified as candidates to receive ARRA Green Project Reserve funds.

IDENTIFICATION OF GREEN PROJECT RESERVE CANDIDATE PROJECTS - Since the enactment of ARRA in February 2009, EFC has been working to identify “ready-to-go,” program eligible clean water projects throughout the State. IUP Amendment No. 1 explained ARRA program requirements; Amendment No. 2 listed non-New York City ARRA eligible projects in Categories A, B, and D; and Amendment No. 3 listed New York City ARRA eligible projects in Category C and extended the FFY 2009 IUP financing period to March 31, 2010.

ARRA requires that states set aside 20% of their funding (New York State’s share is approximately \$86.5 million) in a Green Project Reserve (GPR) for water quality projects that employ green infrastructure, are energy efficient, conserve water, or utilize environmentally innovative technologies. EFC, in cooperation with the New York State Energy Research and Development Authority (NYSERDA), identified those features of the ARRA eligible projects that met the definition of GPR. In addition, EFC sought new projects that met the definition of GPR as part of the Green Innovations Grants Program (GIGP). It is noted that the GIGP received requests for over \$280 million for various CWSRF eligible projects.

In summary, EFC identified over \$170 million of GPR in ARRA eligible projects. This is well beyond the ARRA 20% requirement for GPR. EPA Region 2 has reviewed each request for GPR and found all meet the technical qualification as GPR.

Amendment No. 4 updates the listing of all ARRA eligible projects identified to date in the FFY 2009 CWSRF Annual List to include those candidates to receive ARRA Green Project Reserve (GPR) funds. The amendment also includes a full listing, known as Category G, of candidates selected for funding via the GIGP.

PUBLIC REVIEW AND COMMENT ON AMENDMENT NO. 4

A public notice on the availability of draft Amendment No. 4 and request for comments was published in the November 11, 2009 issue of the Environmental Notice Bulletin (ENB). The comment period for the draft amendment was subsequently extended through a notice published in the November 25, 2009 issue of the ENB. The New York State Environmental Facilities Corporation (EFC) accepted comments on Amendment No. 4 to the FFY 2009 CWSRF IUP by mail, email, or fax until close of business **Wednesday, December 2, 2009**. No comments were received during the public comment period for draft Amendment No. 4.

For additional information regarding the CWSRF program, please call the New York State Environmental Facilities Corporation (EFC) toll-free line at 800-882-9721 or 518-402-7396, or visit the EFC website at www.nysefc.org/cwsrf.

EFC offers ongoing updates of ARRA implementation at www.nysefc.org/recovery. Those who are interested in being informed of the latest ARRA news are encouraged to subscribe to receive email alerts, which are sent as new information is available.

Amended CWSRF Project Priority List - ARRA Candidate Projects- Categories A, B, C, and D

Amended CWSRF Project Priority List- ARRA Candidate Projects- Category G

Type of Financial Assistance

EFC will provide ARRA funds, in the form of principal forgiveness, loan, or grant, to projects selected that meet the requirements of ARRA and CWSRF program requirements, to the extent that funds are available. Projects may receive a combination of 2009 program CWSRF funds and ARRA funds subject to eligibility requirements and availability. The combination will be determined by EFC in consultation with the applicant. Other projects will be provided with 2009 CWSRF program funds, to the extent that funds are available.

Estimated Date of Closing

EFC will work with communities to close financings as quickly as possible to adhere to the intent and requirements of ARRA and the 2009 CWSRF program.

Final Amendment No. 4 - 2009 IUP
Annual CWSRF Project Priority List - ARRA Candidate Projects

Project Type	Project Number	Applicant Name	Service Area	Project Description	SPDES Permit	Score	Estimated Assistance Amount	EPA Approved GPR Amount	Total ARRA Subsidy Amount (1)	Actual/ Estimated Closing Date
Category A										
212	C3-5314-01-70	NORTH SALEM, TOWN OF	PEACH LAKE	COLL, FM, PS, STP [PHASE 1- ARRA]	NY0274526	1092	\$5,031,704	\$645,913	\$2,838,809	12/17/2009
212	C3-5340-06-70	SOUTHEAST, TOWN OF	PEACH LAKE	COLL, FM, PS, STP [PHASE 1- ARRA]	NY0274526	1092	\$1,942,898	\$226,941	\$1,084,920	12/17/2009
212	C7-6237-02-70	OWASCO, TOWN OF	SEWER DISTRICT NO. 1	FM, PS	NY0029297	1053	\$1,551,368	\$0	\$775,684	7/13/2009
212	C1-5154-06-70	BROOKHAVEN, TOWN OF	SEWER DISTRICT #2	STP [0.20 MGD]	NY0277827	1033	\$10,226,594	\$170,515	\$2,745,444	8/24/2009
212	C7-6046-01-70	WEEDSPORT, VILLAGE OF		I/I CORR, STP IMP	NY0020559	1033	\$5,352,845	\$1,037,586	\$3,195,216	7/13/2009
212	C9-6609-01-70	CUBA, VILLAGE OF		I/I CORR, STP REHAB	NY0023515	67	\$2,292,097	\$536,397	\$1,414,247	8/24/2009
212	C6-6020-05-70	SACKETS HARBOR, VILLAGE OF		I/I CORR, STP REPL	NY0027014	58	\$8,523,000	\$1,612,024	\$3,297,627	8/24/2009
Category A Totals:							\$34,920,506	\$4,229,376	\$15,351,947	
Category B										
212	C3-5315-05-71	MIDDLETOWN, CITY OF		STP UP/EXP [ARRA]	NY0026328	2064	\$27,978,016	\$3,950,103	\$15,964,060	8/24/2009
212	C9-6603-02-71	NIAGARA FALLS PWA	NORTH GORGE INTER.	SEW REHAB	NY0026336	2057	\$10,941,905	\$0	\$5,470,953	9/1/2009
212	C3-5368-26-70	ROCKLAND COUNTY	SD #1	PS REHAB, STP REHAB [PHASE II]	NY0031895	1101	\$10,029,495	\$125,270	\$5,077,383	9/1/2009
319	C5-5502-02-70	PLATTSBURGH, CITY OF	AKEY ROAD LANDFILL	LF-CAP (TITLE 5)	NO SPDES	162	\$4,672,985	\$0	\$2,336,492	9/1/2009
212	C7-6320-12-70	ONONDAGA COUNTY	ONONDAGA LAKE	CSO (HARBOR BROOK CSO ABATEMENT)	NY0027801	136	\$21,800,000	\$1,800,000	\$11,800,000	8/24/2009
212	C3-7396-02-70	WESTCHESTER COUNTY	MAMARONECK	STP UP [NUTRIENT REMOVAL (SLI-01)]	NY0026701	122	\$57,862,989	\$2,928,111	\$30,395,550	9/14/2009
212	C1-7370-02-70	PATCHOGUE, VILLAGE OF	VILLAGE SD	STP MOD/EXP [UV & AMMONIA]	NY0023922	117	\$10,375,054	\$5,119,590	\$5,439,441	8/24/2009
212	C9-6602-09-70	BUFFALO SEWER AUTHORITY	HAMBURG DRAIN	CSO	NY0028410	104	\$18,247,801	\$470,000	\$9,278,250	8/24/2009
212	C1-5105-03-70	NASSAU COUNTY	THE BIRCHES	COLL, FM, PS [CONVEYANCE TO GLEN COVE]	NY0189950	97	\$5,957,891	\$3,366	\$2,980,628	8/24/2009
212	C1-5155-42-70	OYSTER BAY, TOWN OF	THE BIRCHES	COLL, FM, PS [CONVEYANCE TO GLEN COVE]	NY0189950	97	\$6,258,107	\$3,366	\$3,130,736	8/24/2009
212	C1-5107-04-70	CEDARHURST, VILLAGE OF	VILLAGE SD	FM MOD, PS IMP, SEW REPL, STP REPL	NY0026450	76	\$11,700,305	\$80,385	\$5,890,345	12/17/2009
212	C1-7334-04-70	LAWRENCE, VILLAGE OF	VILLAGE SD	FM, PS UP, STP REPL	NY0020354	76	\$12,484,568	\$200,689	\$6,342,629	12/17/2009
Category B Totals:							\$198,309,116	\$14,680,880	\$104,106,467	
Category C										
212	C2-5219-05-70	NYCMWFA	PAERDEGAT BASIN	CSO [CONTRACT 6-NATURAL AREA PARK]	NO SPDES	2111	\$14,637,500	\$14,637,500	\$14,637,500	11/19/2009
212	C2-5209-36-70	NYCMWFA	NEWTOWN CREEK	STP UP [(NC-50A (SLUDGE VESSELS))]	NY0026204	119	\$84,226,800	\$56,151,190	\$66,375,318	11/19/2009
212	C2-5202-03-70	NYCMWFA	HUNTS POINT	STP UP [PHASE 3R]	NY0026191	119	\$35,365,300	\$13,051,000	\$35,365,300	11/19/2009
212	C2-5206-14-70	NYCMWFA	26TH WARD	STP UP [GENERATOR CONTRACT 13]	NY0026212	114	\$32,464,500	\$0	\$32,464,500	11/19/2009
212	C2-5201-25-70	NYCMWFA	WARDS ISLAND	STU UP [PRIMARY SLUDGE HANDLING]	NY0026131	104	\$15,694,500	\$1,827,361	\$15,694,500	11/19/2009
212	C2-5212-14-70	NYCMWFA	ROCKAWAY	STP IMP [WP-112]	NY0026221	56	\$5,362,000	\$0	\$5,362,000	11/19/2009
212	C2-5206-20-70	NYCMWFA	26TH WARD	STP IMP [WP-112]	NY0026212	52	\$6,325,760	\$0	\$6,325,760	11/19/2009
212	C2-5227-13-70	NYCMWFA	OWLS HEAD	STP IMP [WP-112]	NY0026166	46	\$1,550,000	\$0	\$1,550,000	11/19/2009
319	C2-5271-01-70	THE CITY OF NEW YORK ACTING BY AND THROUGH THE NYC DEPT OF PARKS & REC	CITY-WIDE PLANTS	NPS [GREENSTREETS]		39	\$0	\$2,000,000	\$2,000,000 (2)	12/17/2009
212	C2-5236-13-70	NYCMWFA	PORT RICHMOND	STP IMP [WP-112]	NY0026107	34	\$27,010,500	\$4,187,146	\$27,010,500	11/19/2009
212	C2-5210-16-70	NYCMWFA	OAKWOOD BEACH	STP IMP [WP-112]	NY0026174	28	\$12,735,250	\$0	\$12,735,250	11/19/2009
Category C Totals:							\$235,372,110	\$91,854,197	\$219,520,628	

(1) All ARRA subsidy is Principal Forgiveness, except as noted
(2) ARRA subsidy is grant

Final Amendment No. 4 - 2009 IUP
Annual CWSRF Project Priority List - ARRA Candidate Projects

Project Type	Project Number	Applicant Name	Service Area	Project Description	SPDES Permit	Score	Estimated Assistance Amount	EPA Approved GPR Amount	Total ARRA Subsidy Amount (1)	Actual/ Estimated Closing Date
Category D										
212	C6-6094-01-72	RUTLAND, TOWN OF	FELTS MILLS PHASE III	COLL	NY0025984	2052	\$4,299,000	\$0	\$1,780,000	10/20/2009
212	C5-5552-01-71	GRANVILLE, VILLAGE OF		STP UP [AND IMP]	NY0021547	2049	\$1,422,213	\$566,637	\$1,195,214	10/20/2009
212	C5-5520-05-70	TICONDEROGA, TOWN OF	WWTP SD #5 (STAGE 1)	SEW REPL, STP MOD	NY0036706	1137	\$5,553,523	\$917,091	\$3,621,100	12/17/2009
212	C1-5121-03-70	GREENPORT, VILLAGE OF	GREENPORT SD	STP UP [PHASE II BNR & UV]	NY0020079	1107	\$5,209,594	\$3,809,632	\$3,815,595	9/1/2009
212	C9-6608-01-70	CUBA, TOWN OF	CUBA LAKE	COLL, FM, PS	NY0023515	1097	\$5,118,778	\$0	\$3,400,000	8/24/2009
212	C4-5414-04-70	GREENPORT, TOWN OF		STP IMP	NY0030988	1091	\$8,591,249	\$1,958,926	\$5,275,087	9/1/2009
212	C5-5514-01-71	SCHROON, TOWN OF		STP MOD	NY0020231	1053	\$4,040,413	\$0	\$3,232,330	11/23/2009
212	C7-6297-04-70	CANASTOTA, VILLAGE OF		CSO, SEW REHAB, STP UP	NY0029807	124	\$14,050,950	\$1,643,000	\$5,141,495	12/30/2009
212	C4-5415-02-70	HUDSON, CITY OF		PS IMP, STP IMP [CSO LTCP]	NY0022039	97	\$13,178,957	\$3,278,663	\$6,072,567	8/24/2009
212	C5-5518-01-70	ESSEX, TOWN OF	HAMLET OF ESSEX	COLL, STP	NEW SPDES	87	\$7,700,000	\$1,331,643	\$5,331,643	12/17/2009
212	C8-6429-02-70	ALBION, VILLAGE OF	VILLAGE OF ALBION	I/I CORR, SEW REHAB	NY0028401	81	\$2,640,140	\$115,500	\$1,377,820	8/19/2009
212	C4-5434-01-70	CATSKILL, TOWN OF	HAMLET OF SMITH'S LANDIN	I/I CORR, STP IMP	NY0205004	76	\$219,571	\$39,175	\$183,491	8/24/2009
212	C5-5521-01-70	NEWCOMB, TOWN OF	WINEBROOK HILLS STP IMPI	I/I CORR, STP MOD	NY0023132	68	\$1,793,000	\$0	\$1,434,400	11/2/2009
212	C4-7498-02-70	GREENVILLE, TOWN OF	SEWER DISTRICT NO. 1	I/I CORR, STP IMP	NY0094854	63	\$690,675	\$84,705	\$569,481	8/24/2009
212	C4-5450-02-70	RICHFIELD SPRINGS, VILLAGE OF	VILLAGE	I/I CORR, STP IMP	NY0031411	54	\$5,570,650	\$804,940	\$4,364,329	8/19/2009
Category D Totals:							\$80,078,713	\$14,549,912	\$46,794,552	
Categories A, B, C, D Totals:							\$548,680,445	\$125,314,365	\$385,773,594	

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(2) ARRA subsidy is grant

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Annual CWSRF Project Priority List - ARRA Candidate Projects

Project Type	Project Number	Applicant Name	GIGP Number - Service Area / Description	Estimated Total Project Cost	EPA Approved GPR/ARRA Grant Amount	Actual/ Estimated Closing Date
Category: G						
212	C4-5419-04-70	ALBANY COUNTY	30 - Waste Heat Recovery/Co-Generation	\$5,500,000	\$2,976,000	12/30/2009
319	C9-6638-05-70	AMHERST, TOWN OF	276 - Engineering Department Water Garden and Pavement Reduction	\$168,000	\$151,200	12/30/2009
319	C7-9105-01-70	CAYUGA COUNTY SOIL AND WATER CONS. DIST.	33 - Cayuga County Regional Digester	\$6,010,000	\$3,200,000	12/30/2009
319	C8-9201-01-70	CHEMUNG COUNTY LIBRARY DISTRICT	120 - Steele Memorial Green Roof	\$912,808	\$821,527	12/30/2009
212	C4-5448-07-70	COOPERSTOWN, VILLAGE OF	18 - Cooperstown, Village of Wastewater Treatment Facility Modifications	\$985,000	\$244,800	12/30/2009
319	C3-9113-01-70	DUTCHESS COUNTY SOIL AND WATER CONS. DIST.	272 - Green Infrastructure Stormwater Retrofit	\$368,875	\$330,100	12/30/2009
212	C8-6458-01-70	ELBA, VILLAGE OF	243 - Water and Wastewater System Green Improvements	\$369,460	\$275,119	12/30/2009
212	C3-5380-03-70	ELLENVILLE, VILLAGE OF	47 - Village of Ellenville Wastewater Treatment Plant Energy Improvements	\$349,180	\$314,262	12/30/2009
212	C5-5548-10-70	GLENS FALLS, CITY OF	149 - WWTP Sludge Disposal Auxiliary Fuel System	\$1,482,372	\$1,334,134	12/30/2009
319	C3-5354-04-70	GREENWOOD LAKE, VILLAGE OF	29 - Waterstone Road Riparian Buffer	\$15,015	\$13,514	12/30/2009
319	C3-5354-03-70	GREENWOOD LAKE, VILLAGE OF	165 - Village Hall Complex Stormwater Management Plan	\$662,500	\$550,890	12/30/2009
319	C4-9202-01-70	HAINES FALLS FREE LIBRARY	93 - Mountain Top Library & Learning Center Stormwater Treatment & Water Conservation Pr	\$338,625	\$284,850	12/30/2009
212	C4-5483-04-70	HOOSICK FALLS, VILLAGE OF	70 - Sludge Holding Tank Modifications	\$111,500	\$100,350	12/30/2009
212	C9-9203-01-70	JAMESTOWN BOARD OF PUBLIC UTILITIES	60 - Digester Gas Collection, Storage and Micro Turbine Power Generation	\$4,355,000	\$2,555,000	12/30/2009
212	C8-6406-03-70	JASPER, TOWN OF	102 - Jasper Wastewater Treatment Plant Reed Bed Project	\$130,000	\$117,000	12/30/2009
212	C5-5588-03-70	JOHNSTOWN, CITY OF	13 - Gloversville/Johnstown, Cities Wastewater Plant Upgrade	\$9,495,000	\$4,895,000	12/30/2009
212	C3-5327-03-70	LA GRANGE, TOWN OF	74 - Energy Efficiency Upgrades to Wastewater Treatment Plant	\$451,000	\$405,900	12/30/2009
319	C1-9204-01-70	LINDENHURST LIBRARY	157 - Environmentally Sustainable Parking Lot	\$371,000	\$200,700	12/30/2009
212	C8-6409-02-70	LYONS, VILLAGE OF	204 - Water and Wastewater System Green Improvements	\$1,713,026	\$546,575	12/30/2009
212	C8-6430-07-70	MEDINA, VILLAGE OF	205 - Water and Wastewater System Green Improvements	\$2,619,549	\$1,708,111	12/30/2009
212	C3-7327-01-70	MILLBROOK, VILLAGE OF	212 - Millbrook, Wastewater Treatment Plant Energy Upgrade	\$160,000	\$144,000	12/30/2009
319	C8-6489-32-70	MONROE COUNTY	255 - Monroe County Civic Center Plaza Green Roof	\$6,600,000	\$3,240,000	12/30/2009
319	C5-9170-01-70	NYS OFFICE OF PARKS, RECREATION, AND HISTORIC PRESERVATION	240 - Stormwater Improvements @ Columbia and Ferndell Picnic Areas	\$665,000	\$598,500	12/30/2009
319	C9-6675-01-70	NORTH TONAWANDA, CITY OF	198 - Manhattan Street Parking Lot-Municipal Rain Garden Demonstration	\$330,295	\$263,295	12/30/2009
212	C8-6500-04-70	OAKFIELD, VILLAGE OF	263 - Oakfield, Village of Reed Bed Sludge Treatment System Project	\$96,500	\$86,850	12/30/2009
212	C6-6063-05-70	OGDENSBURG, CITY OF	32 - City of Ogdensburg WWTP Energy Efficiency & Green Energy Upgrades	\$1,210,400	\$1,089,360	12/30/2009

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Project Type	Project Number	Applicant Name	GIGP Number - Service Area / Description	Estimated Total Project Cost	EPA Approved GPR/ARRA Grant Amount	Actual/ Estimated Closing Date
319	C7-6320-20-70	ONONDAGA COUNTY	119 - Green Roof Demonstration Project	\$2,100,000	\$235,023	12/30/2009
212	C7-6320-22-70	ONONDAGA COUNTY	123 - Greening the City Creekwalk	\$1,000,000	\$900,000	12/30/2009
319	C7-6320-21-70	ONONDAGA COUNTY	125 - Saving the Rain on South and West Sides	\$2,500,000	\$180,000	12/30/2009
212	C7-6233-02-70	PORT BYRON, VILLAGE OF	225 - Wastewater Treatment Plant Solar Electric Project	\$119,672	\$107,633	12/30/2009
319	C3-9205-01-70	RESEARCH FOUNDATION OF SUNY	153 - Green Infrastructure Plaza Rehabilitation	\$7,599,153	\$2,128,224	12/30/2009
319	C4-9206-01-70	ROELIFF JANSEN COMMUNITY LIBRARY	118 - Stormwater Treatment, Green Landscaping & Water Conservation	\$399,370	\$320,000	12/30/2009
319	C6-6037-08-70	ROME, CITY OF	275 - Urban Canopy Restoration for Stormwater Management	\$280,000	\$250,000	12/30/2009
212	C5-5539-02-70	SPECULATOR, VILLAGE OF	48 - Collection System Infiltration and Inflow Abatement	\$13,892	\$12,503	12/30/2009
212	C1-9207-01-70	SUFFOLK COUNTY COMMUNITY COLLEGE	282 - College-wide Water & Energy Conservation Initiative	\$750,000	\$675,000	12/30/2009
212	C7-6323-02-70	SYRACUSE, CITY OF	246 - Green City Homes	\$521,328	\$351,596	11/30/2009
319	C7-9153-01-70	TIOGA COUNTY SOIL AND WATER CONS. DIST.	112 - Wetland Restoration in the NY Susquehanna River Basin	\$817,924	\$736,132	12/30/2009
212	C9-6673-02-70	TONAWANDA, TOWN OF	71 - Parker Pump Station Upgrades	\$380,000	\$342,000	12/30/2009
212	C4-9208-01-70	UNIVERSITY AT ALBANY	116 - Water Efficiency	\$1,194,790	\$1,020,600	12/30/2009
319	C6-6076-06-70	UTICA, CITY OF	131 - Utica Green Engineering Program	\$1,468,000	\$831,150	12/30/2009
212	C3-5381-42-70	WESTCHESTER COUNTY	152 - Yonkers Joint Wastewater Treatment Plant Energy Efficiency	\$260,000	\$234,000	12/30/2009
212	C8-6459-01-70	WILLIAMSON, TOWN OF	216 - Wastewater Treatment & Collection System Green Improvements	\$4,446,928	\$718,901	12/30/2009

Category G Totals: \$69,321,162 \$35,489,799

Categories A, B, C, D, G Total GPR: \$160,804,164

Categories A, B, C, D, G Total Principal Forgivness/Grant: \$421,263,393